

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 213273US0CONT

First Inventor or Application Identifier Saburo WAKITA

Title MOLD FOR PRODUCING SILICON INGOT AND METHOD FOR FABRICATING THE SAME

08/24/01  
1003 U.S. PTO

Assignee Name: Mitsubishi Materials Corporatin

Assignee Address: 1-5-1, Otemachi, Chiyoda-ku, Tokyo, Japan

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Specification Total Sheets 31

3.  Formal Drawing(s) (35 U.S.C. 113) Total Sheets 9

4.  Oath or Declaration Total Pages 4

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation / divisional w/ box 17 completed)
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).
- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a.  Computer Readable Form (CRF)
- b. Specification or Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  Paper
- c.  Statements verifying identity of above copies

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**ACCOMPANYING APPLICATION PARTS**

- 7.  Assignment Papers (cover sheet & document(s))
- 8.  Application Data Sheet. See 37 CFR 1.76
- 9.  37 C.F.R. §3.73(b) Statement  Power of Attorney (when there is an assignee)
- 10.  English Translation Document (if applicable)
- 11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- 12.  Preliminary Amendment with marked-up copy
- 13.  White Advance Serial No. Postcard
- 14.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 15.  Applicant claims small entity status. See 37 CFR 1.27
- 16.  Other: REQUEST FOR PRIORITY

J1040 U.S. PTO  
09/935549

08/24/01

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation  Divisional  Continuation-in-part (CIP) of prior application no.: 09/258,821

Prior application information: Examiner: MACKEY

Group Art Unit: 1722

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- This application is a  Continuation  Division  Continuation-in-part (CIP) of application Serial No. 09/258,821 Filed on February 26, 1999, pending.
- Which was published in English
- Which was not published in English
- This application claims priority of provisional application Serial No. Filed

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Docket No. 213273US0CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) SABURO WAKITA ET AL

SERIAL NO: New Application

FILING DATE: Herewith

FOR: MOLD FOR PRODUCING SILICON INGOT AND METHOD FOR FABRICATING THE SAME

**FEE TRANSMITTAL**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	8 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$80 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
			BASIC FEE	\$710.00
			TOTAL OF ABOVE CALCULATIONS	\$710.00
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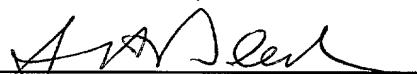
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The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.  
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



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